

EXPRESS MAIL LABEL NO. ; EV373692827US  
DATE: January 6, 2005

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER SONYJP 3.3-380
INTERNATIONAL APPLICATION NO. PCT/JP2004/006506	INTERNATIONAL FILING DATE 7 May 2004	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/520449</b>
TITLE OF INVENTION      PROGRAM RECORDING APPARATUS AND PROGRAM RECORDING METHOD		
APPLICANT(S) FOR DO/EO/US      Yukinori Kita, Shinichiro Sugai, Makoto Korehisa, and Takayuki Miyama		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). w/PCT Request a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). <b>Items 11 to 20 below concern document(s) or information included:</b> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. w/PTO-1449, 6 references 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Six (6) Sheets of Formal Drawings and page 7 which is descriptions of reference numbers, Copy of Face Page of International Application as published, Return Receipt Postcard		

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<p>21. The following fees are submitted:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15px; padding: 2px;"><input checked="" type="checkbox"/></td> <td style="width: 85px; padding: 2px;">a) Basic national fee .....</td> <td style="width: 10px; padding: 2px;">\$</td> <td style="width: 85px; padding: 2px;">300.00</td> <td style="width: 10px; padding: 2px;"></td> </tr> <tr> <td style="width: 15px; padding: 2px;"><input checked="" type="checkbox"/></td> <td style="width: 85px; padding: 2px;">b) Examination fee .....</td> <td style="width: 10px; padding: 2px;">\$</td> <td style="width: 85px; padding: 2px;">200.00</td> <td style="width: 10px; padding: 2px;"></td> </tr> <tr> <td style="width: 15px; padding: 2px;"><input checked="" type="checkbox"/></td> <td style="width: 85px; padding: 2px;">c) Search fee .....</td> <td style="width: 10px; padding: 2px;">\$</td> <td style="width: 85px; padding: 2px;">500.00</td> <td style="width: 10px; padding: 2px;"></td> </tr> <tr> <td colspan="2" style="text-align: right; padding: 2px;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="width: 10px; padding: 2px;">\$</td> <td style="width: 85px; padding: 2px;">1000.00</td> <td style="width: 10px; padding: 2px;"></td> </tr> </table> <p><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Total Sheets</th> <th style="width: 15%;">Extra sheets</th> <th style="width: 60%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width: 10%;">RATE</th> </tr> <tr> <td style="width: 15px; padding: 2px;">35 - 100 =</td> <td style="width: 15px; padding: 2px;">/50 =</td> <td style="width: 60px; padding: 2px;"></td> <td style="width: 10px; padding: 2px;">x \$250.00</td> </tr> </table>				<input checked="" type="checkbox"/>	a) Basic national fee .....	\$	300.00		<input checked="" type="checkbox"/>	b) Examination fee .....	\$	200.00		<input checked="" type="checkbox"/>	c) Search fee .....	\$	500.00		<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$	1000.00		Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	35 - 100 =	/50 =		x \$250.00	<b>Applicant Use</b>	<b>Office Use Only</b>								
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<p>Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 15%;">NUMBER EXTRA</th> <th style="width: 10%;">RATE</th> </tr> <tr> <td style="width: 15px; padding: 2px;">Total claims</td> <td style="width: 15px; padding: 2px;">20 - 20 =</td> <td style="width: 15px; padding: 2px;">x</td> <td style="width: 10px; padding: 2px;">\$ 0.00</td> </tr> <tr> <td style="width: 15px; padding: 2px;">Independent claims</td> <td style="width: 15px; padding: 2px;">2 - 3 =</td> <td style="width: 15px; padding: 2px;">x</td> <td style="width: 10px; padding: 2px;">\$ 0.00</td> </tr> <tr> <td colspan="2" style="text-align: right; padding: 2px;"><b>MULTIPLE DEPENDENT CLAIM(s) (if applicable)</b></td> <td style="width: 15px; padding: 2px;">+</td> <td style="width: 10px; padding: 2px;">\$</td> </tr> <tr> <td colspan="2" style="text-align: right; padding: 2px;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="width: 15px; padding: 2px;">\$</td> <td style="width: 10px; padding: 2px;">1,000.00</td> </tr> </table> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: right; padding: 2px;"><b>SUBTOTAL =</b></td> <td style="width: 10px; padding: 2px;">\$</td> <td style="width: 85px; padding: 2px;">1,000.00</td> </tr> </table> <p>Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15px; padding: 2px;">+</td> <td style="width: 15px; padding: 2px;">\$</td> </tr> <tr> <td colspan="2" style="text-align: right; padding: 2px;"><b>TOTAL NATIONAL FEE =</b></td> <td style="width: 10px; padding: 2px;">\$</td> <td style="width: 85px; padding: 2px;">1,000.00</td> </tr> </table> <p>Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15px; padding: 2px;">+</td> <td style="width: 15px; padding: 2px;">\$</td> </tr> <tr> <td colspan="2" style="text-align: right; padding: 2px;"><b>TOTAL FEES ENCLOSED =</b></td> <td style="width: 10px; padding: 2px;">\$</td> <td style="width: 85px; padding: 2px;">1,000.00</td> </tr> </table> <p style="text-align: center;">Amount to be refunded:  \$ Amount to be charged:  \$</p>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	20 - 20 =	x	\$ 0.00	Independent claims	2 - 3 =	x	\$ 0.00	<b>MULTIPLE DEPENDENT CLAIM(s) (if applicable)</b>		+	\$	<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$	1,000.00	<b>SUBTOTAL =</b>		\$	1,000.00	+	\$	<b>TOTAL NATIONAL FEE =</b>		\$	1,000.00	+	\$	<b>TOTAL FEES ENCLOSED =</b>		\$	1,000.00		
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<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>12-1095</u> in the amount of \$ <u>1,000.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>12-1095</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO: </p> <p>SIGNATURE: <u>Robert B. Cohen</u></p> <p>NAME: _____</p> <p>CUSTOMER NUMBER: 000530 REGISTRATION NUMBER: <u>32,768</u></p>																																									